

## UNITED STATE: JEPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER FILING DATE

FIRST NAMED INVENTOR

ATTORNEY DOCKET NO.

	EXAMINER
	ART UNIT PAPER NUMBER
	4
	DATE MAIL ED
This is a communication from the examiner in charge of your application. COMMISSIONER OF PATENTS AND TRADEMARKS	DATE MAILED:
This application has been examined Responsive to communication filed on	This action is made fina
A shortened statutory period for response to this action is set to expire	days from the date of this letter.
Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:	1164. 33 U.S.U. 133
Notice of References Cited by Examiner, PTO-892.     Notice of References Cited by Examiner, PTO-892.	ce of Draftsman's Patent Drawing Review, PTO-948
3. Notice of Art Cited by Applicant, PTO-1449. 4. Notice of Information on How to Effect Drawing Changes, PTO-1474. 6. L	ce of Informal Patent Application, PTO-152.
Part II SUMMARY OF ACTION	
1. Claims 57 6%	
Of the above, claims	
2. Claims	
3. 🗀 Claims	
4. A Claims 57 68	are allowed.
5. Claims	are rejected.
6. Claimsare	are objected to.
7. This application has been filed with informal drawings under 37 C.F.R. 1.85 which are a	cceptable for examination purposes
8.  Formal drawings are required in response to this Office action.	poposes.
9. The corrected or substitute drawings have been received on are acceptable; not acceptable (see explanation or Notice at Danta and Danta a	
are acceptable; not acceptable (see explanation or Notice of Draftsman's Patent I  10. The proposed additional or substitute sheet(s) of drawings, filed on examiner; disapproved by the examiner (see explanation)	Drawing Review, PTO-948).
11. The proposed drawing correction, filed, has been approved	d; disapproved (see explanation).
Acknowledgement is made of the claim for priority under 35 U.S.C. 119. The certified co	<del>~   ~ ~   · </del> ( 3
<ol> <li>Since this application apppears to be in condition for allowance except for formal matters, accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213.</li> </ol>	prosecution as to the merits is closed in
a. Doller Application smould be one side only	typod on
one side only	

**EXAMINER'S ACTION** 

معاد فقد المام المامية المامية

Secretary was a second

Serial Number: 08/470,786 -2-

Art Unit: 1202

Claims 57-68 are pending.

Applicants are advised to re-submit the application (specification) retyped only on (part) one-side of the paper. (Patent Rule 1.52b). A substitute specification is required.

The following is a quotation of the first paragraph of 35 U.S.C. § 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

The specification is objected to under 35 U.S.C. § 112, first paragraph, as failing to enable disclosure.

1. Claim 57 recites 'heteroaromatic' on lines 5 and 10 of page 3; 'heterocyclyl' on line 8 of page 2. These terms are unduly broad and indefinite (2nd para.) as found in the claim. Exactly what the rings are, has not been indicated. The instant claims 57-65 are compound claims. Therefore, should be able, from a reading of the claim, determine what that claim does or does not encompass. Why? Because that claim precludes others

**Serial Number: 08/470,786** 

Art Unit: 1202

from making, using, or selling that compound for 17 years. Therefore, one must know what compound is being claimed. Conception of what the intended 'heteroaromatic' or 'heterocyclyl' may be, should not be left to the reader. Specification on page 5 in the last para, attempts to clarify as follows: "The terms 'heterocyclyl' and 'heterocyclic' as used herein include aromatic and non-aromatic, single and fused, rings suitably (?) containing up to four hetero-atoms in each ring selected from oxygen, nitrogen and sulphur....". The written description is considered inadequate here in the specification. There are a large number of possibilities of the relative configuration of the hetero atoms (1,2 1,3...etc.); For example, hetero rings with two sulphur atoms in ortho-positions are different to prepare and unstable. How is such a hetero ring prepared? What are the starting materials with a hetero (6) ring with O, N and S in 1,2,3 positions? In re Howarth 210 USPQ 689; In the absence of such data, the disclosure becomes

Serial Number: 08/470,786 -4-

Art Unit: 1202

merely an invitation to experiment. If you (the public) find that it works, I claim it, is not a proper basis for patentability; In re Kirk, 153 USPQ 48 at page 53.

The claims measure the invention. United Carbon Co. v. Binney & Smith Co. 55 USPQ 381, col. 1, end of 1st paragraph, Supreme Court of the United States (1942).

The U.S. Court of Claims held to this standard in Lockhead Aircraft Corp. v. United States, 193 USPQ 449, "Claims measure invention and resolution of invention must be based on what is claimed".

The C.C.P.A. in 1978 held "that invention is the subject matter defined by the claims submitted by the applicant". "We have consistently held that no applicant should have limitations of the specification read into a claim where no express statement of the limitation is included in the claim": In re Priest, 199 USPQ 11, at 15.

Serial Number: 08/470,786 -5-

Art Unit: 1202

Thus, the claims fail to set fixed metes and bounds to one skilled in the art. The specification is not commensurate with the broad scope of the claims.

Claims 57-68 are rejected under 35 U.S.C. § 112, first paragraph, for the reasons set forth in the objection to the specification.

Claim 68 is rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claim is drawn to 'therapeutically effective amount'. It is not clear what the 'effective amount' is. The term 'therapeutically' fails to distinctly claim the invention, because it is not clear what the disease is.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner P.K. Sripada whose telephone number is (703) 308-4717. The fax phone numbers for this Group are (703) 308-4556 or 305-3592.

Serial Number: 08/470,786 -6-

Art Unit: 1202

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-1235.

MUKUNU J. SHAIT
SUPERVISORY PATENT EXAMINER
GROUP 1200

SRIPADA; aco fr July 12, 1996